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OEIGWG on PMSCs: Chair-Rapporteur Mxolisi Sizo Nkosi (South Africa), 21 March 2024

**REVISED THIRD DRAFT INSTRUMENT ON AN INTERNATIONAL REGULATORY  
FRAMEWORK ON THE REGULATION, MONITORING OF AND OVERSIGHT OVER THE  
ACTIVITIES OF PRIVATE MILITARY AND SECURITY COMPANIES**

PREAMBLE

The [States Parties][States Participants] to this Instrument:

(PP1) *Reaffirming* the Purposes and Principles of the Charter of the United Nations; (PP2)

*Recalling* the Universal Declaration of Human Rights, the International Covenant  
on Economic, Social and Cultural Rights, the International Covenant on Civil and  
Political Rights; the Convention Against Torture and Other Cruel, Inhuman or  
Degrading Treatment and Punishment, the Convention on the Elimination of All  
Forms of Discrimination Against Women, the Convention on the Rights of the Child,  
~~and the International Law Commission's Draft Articles on the Responsibility of  
States for Internationally Wrongful Acts;~~

(PP3) *Recalling* Human Rights Council resolution 15/26 of 1 October 2010 that established  
the open-ended working group to consider the possibility of elaborating an  
international regulatory framework on the regulation, monitoring of and oversight  
over the activities of Private Military and Security Companies and their personnel  
and in its resolution 36/11 of 28 September 2017 that established a new open-ended  
intergovernmental working group for a period of three years, with a mandate to  
elaborate the content of an international regulatory framework, without prejudging  
the nature thereof, to protect human rights and ensure accountability for violations  
and abuses relating to the activities of Private Military and Security Companies,  
which was renewed by resolutions 45/16, adopted on 6 October 2020, and 54/11,  
adopted on 11 October 2023;

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(PP4) *Recalling* the Geneva Conventions of 1949 and their Additional Protocols of 1977 and 2005, the 1907 Hague Convention IV Respecting the Laws and Customs of War and its Annexed Regulations and the obligation of each High Contracting Party and other parties to an armed conflict to respect (and ensure respect)<sup>2</sup> for International Humanitarian Law, including customary International Humanitarian Law, (all International Humanitarian Law obligations) in all circumstances;

(PP5) *Recalling further* the responsibility of business entities to respect international human rights;

(PP6) *Bearing in mind* the International Labour Organisation Conventions, notably the 1998 International Labour Organisation Declaration on Fundamental Principles and Rights at Work as amended in 2022, the Hague Convention for the Protection of Cultural Property in the Event of Armed Conflict of 14 May 1954, and its two Protocols; the United Nations Convention against Corruption and the United Nations Convention against Transnational Organized Crime and its Protocol to Prevent, Suppress and Punish Trafficking in Persons Especially Women and Children;

(PP7) ~~Concerned about the increasing delegation or outsourcing of inherently State functions which [undermines States' capacity to retain their monopoly on the legitimate use of force] negatively impacts State capability to protect human rights<sup>3</sup>;~~ Concerned about the risk posed by ~~excessive or otherwise inappropriate~~ use of Private Military and Security Companies by States for certain ~~functions~~activities, including the use of force<sup>4</sup>;

(PP8) *Expressing* concern at reports of violations of International Humanitarian Law and International Human Rights Law and abuses of human rights [related to][linked to] the activities of [some] Private Military and Security Companies, their personnel and sub-contractors, including but not limited to, extrajudicial, summary or arbitrary executions, enforced disappearances, torture or other cruel, inhuman or degrading treatment or punishment, arbitrary detention, forced displacement, trafficking in persons, including forced labour, the unlawful recruitment or use of

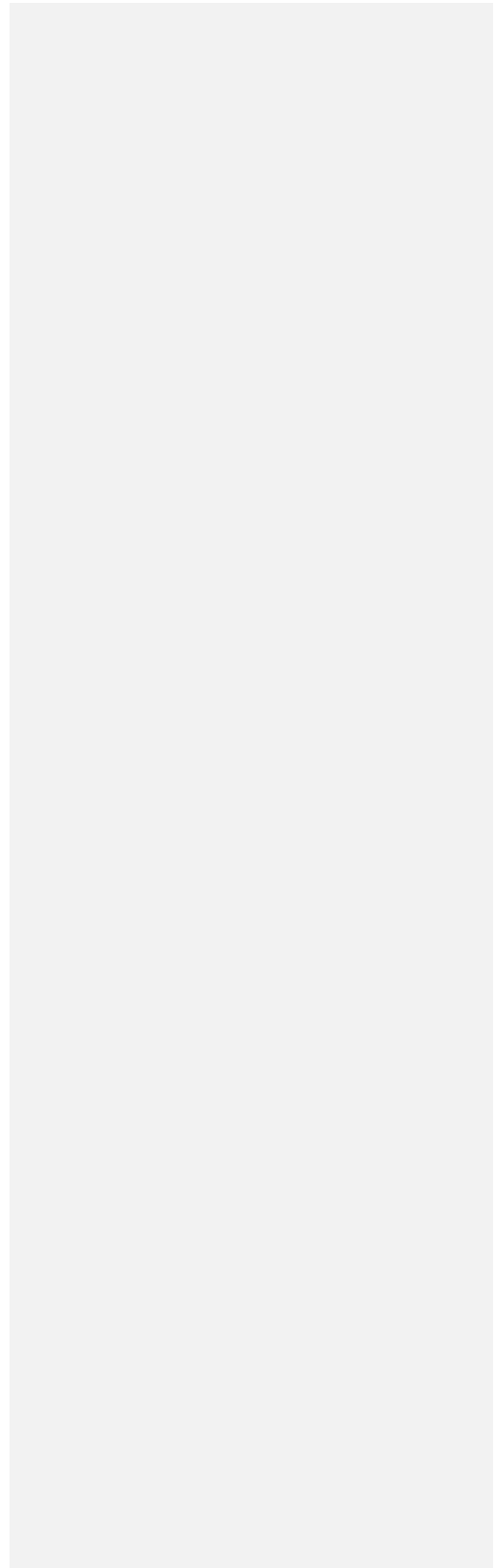
<sup>1</sup> Deletion of "as applicable" proposed by two State delegations noting that the Additional Protocols are part of Customary International Law.

<sup>2</sup> A State expressed concern about the obligation to "ensure respect" for IHL. As no consensus has been reached on deletion, this wording has not been deleted in the remainder of the text.

<sup>3</sup> Proposal by a delegation.

<sup>4</sup> New proposal made by a State delegation. UK OFFICIAL

children in armed conflict, the unlawful use of child labour, confiscation or destruction of private property, hostage-taking, all forms of gender-based violence, including sexual violence and sexual exploitation, abuse and harassment, and illicit trafficking of weapons and drugs;



(PP9) *Affirming* that States bear the primary responsibility / certain duties to prevent abuses and violations of International Human Rights Law and violations of International Humanitarian Law; ~~and~~

PP10 Affirming that States have an obligation, ~~[within their capacity]~~, to respect and ensure respect for International Humanitarian Law by Private Military and Security Companies they contract, their personnel and sub-contractors;

(PP1~~9~~) *Recalling the obligation on High Contracting Parties to the Geneva Conventions of 12 August 1949 to enact any legislation necessary to provide effective penal sanctions for persons committing, or ordering to be committed, grave breaches of the Conventions and noting* that ensuring accountability for abuses or violations of International Human Rights Law and International Humanitarian Law linked to the activities of Private Military and Security Companies, requires that States take appropriate steps to prevent, investigate, prosecute, punish and redress abuses and violations through establishing appropriate jurisdiction, effective remedies for victims, effective policies, legislation, regulations and adjudication, including to provide for civil, administrative or criminal liability as applicable for Private Military and Security Companies, their personnel and sub-contractors present or operating in their territory or jurisdiction;

(PP1~~24~~) ~~Mindful of the rules of international law concerning the responsibility of States for their internationally wrongful acts. States may be held responsible thereof that State Responsibility may be incurred under specific conditions~~ for abuses of human rights and violations of International Humanitarian Law by Private Military and Security Companies, their personnel and their subcontractors;<sup>5</sup>

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(PP1~~32~~) ~~Recognising~~ the obligation to protect all persons ~~within their power and~~ within their territory and jurisdiction, from violations and abuses of their rights as provided for in International Human Rights Law instruments and violations of International Humanitarian Law, ~~(and the need to provide victims with equal access to judicial and other effective remedies and reparation)~~<sup>6</sup>;

(PP1~~43~~) ~~Recognising~~ the need to provide equal and effective access to justice and judicial and other remedies / equal access to judicial and other effective remedies [and reparation] as provided by international human rights law, ~~and~~ including as detailed in the UN Basic Principles and Guidelines on the ~~Right to a Remedy and Reparation for Victims of Gross Violations of International Human Rights Law and Serious Violations of International Humanitarian Law~~;

(PP1~~54~~) ~~Recognising further~~ the contribution of the *Montreux Document on pertinent international legal obligations and good practices for States relating to operations of Private Military and Security Companies during armed conflict*, the *International Code of Conduct for Private Security Providers* and the *United Nations Guiding Principles on Business and Human Rights*, amongst others, in establishing an expectation that Private Military and Security Companies will respect human rights in their business operations, and will seek to mitigate abuses and adverse human rights impacts during such activities as well as setting standards for access to effective remedies for abuses to which they have caused or contributed;

(PP1~~65~~) ~~Concerned~~ about the risk and reports of an increase in abusive and unlawful labour practices, trafficking in persons, including forced labour and forced child labour, on the part of some Private Military and Security Companies<sup>7</sup>;

<sup>5</sup> A delegation proposed that the matter of State Responsibility be moved to the Preamble, resulting in the deletion of Paragraph/Article 2(f) and 12. A reference to the ILC's Draft articles on State Responsibility has been included in pp2.

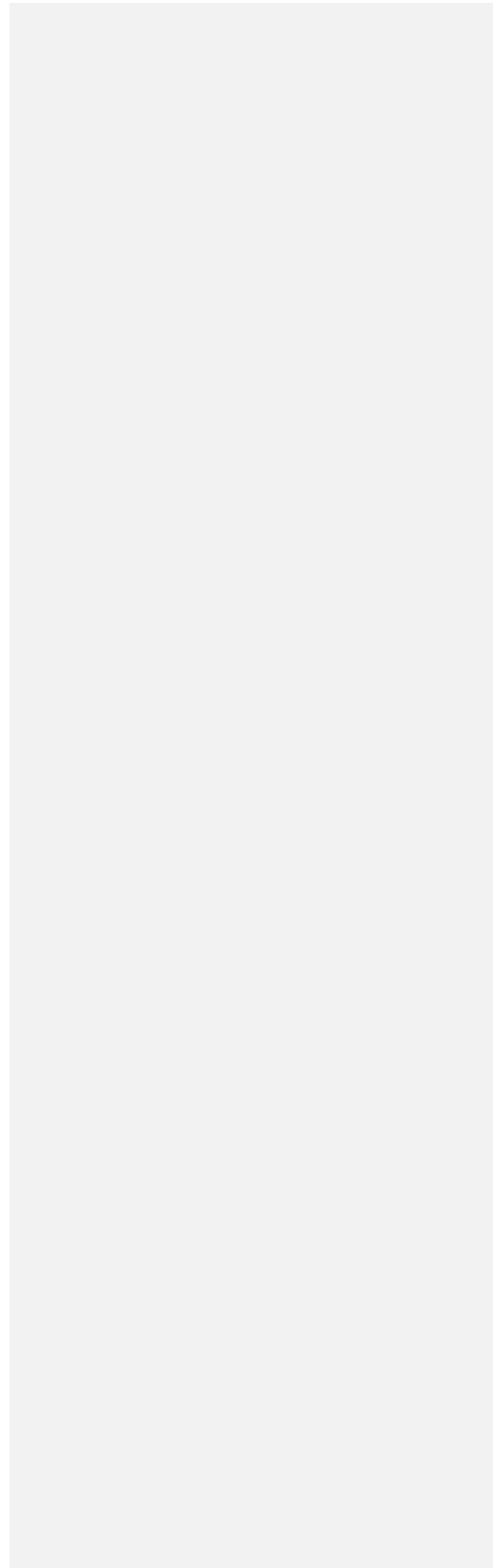
<sup>6</sup> Deletion of this text proposed by a State delegation. However, other delegations insisted that text with respect to reparations be strengthened.

<sup>7</sup> This text overlaps with PP8, but was included as proposed by a number of delegations.

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- (PP176) *Acknowledging* that voluntary regimes/initiatives by Private Military and Security Companies should be supplemented to promote the observance of International Human Rights Law and compliance with International Humanitarian Law by their personnel and sub-contractors and that business enterprises have a responsibility to respect human rights and applicable International Humanitarian Law;
- (PP187) *Recognising* that international standards and the effective implementation thereof in domestic law and practice enhance these voluntary regimes in order to prevent abuses of International Human Rights Law and violations of International Humanitarian Law and to ensure that the activities of Private Military and Security Companies, their personnel and subcontractors are carried out in accordance with international law;
- (PP198) *Mindful of* the assistance rendered by Private Military and Security Companies, their personnel and subcontractors to a variety of clients, public or private;
- (PP2019) *Concerned* about the differentiated impacts that unlawful activities of Private Military and Security Companies have on different groups of the societies in States where such Companies are operating, and especially on individuals and groups in vulnerable situations and other marginalized communities, [including, but not limited to], women, children, elderly persons, people with disabilities, indigenous peoples, members of the LGBTIQ+ community, members of marginalized racial, ethnic and indigenous communities, human rights defenders, including those working on environmental matters, internally displaced persons, migrants, refugees, and asylum seekers;
- (PP210) *Emphasising* the need to adopt a gender transformative perspective in all aspects of the regulation of Private Military and Security Companies to ensure that such regulation addresses the experiences and concerns of individuals;
- (PP224) *Recognizing* that in all actions concerning children, including in the context of the activities of Private Military and Security Companies, the best interests of the child

[shall] [should] be a primary consideration, and [shall][should] be respected in pursuing remedies for abuses of the rights of the child, and that such remedies should take into account the need for child-sensitive procedures at all levels;

[Have reached the following understandings][Hereby agree as follows]:

[PARAGRAPH][ARTICLE] 1  
DEFINITIONS

For the purposes of the present Instrument:

- (a) "Contracting State" means a State that contracts for the services of Private Military and Security Companies, including where such a Private Military and Security Company operates through its subsidiary companies or where the contacted company subcontracts with another Private Military and Security Company under a contract with the Contracting State.
- (b) "Home State" means a State of nationality of a Private Military and Security Company, i.e. where a Private Military and Security Company is incorporated or registered; if the State where the Private Military and Security Company is incorporated is not the one where it has its principal place of management then the State where the Private Military and Security Company has its principal place of management is the "Home State";
- (c) "Private Military and Security Company" means a private business entity, including entities owned or partially owned by a State, and irrespective of how it describes itself, which provides military and/or security services, and include internal business units providing such services. Military and security services include, in particular, guarding and protection of persons and objects, such as convoys, buildings and other places; maintenance and operation of weapons systems; prisoner detention; advice to or training of local forces and security personnel; ~~strategic planning, intelligence, investigation, reconnaissance, flight operations, manned or unmanned, satellite surveillance, transfer of military technologies, any kind of knowledge transfer with~~



~~military applications, material and technical support to armed forces, and other related activities, whether on land, in the air or at sea, or whether in cyberspace or space<sup>8</sup>.~~

- (d) “Personnel” means persons employed by, through direct hire or under a contract with, a Private Military and Security Company, including employees and managers;
- (e) “Subcontractor” means an independent natural or legal person, including a private business entity, who undertakes by a contractual arrangement with a Private Military and Security Company to fulfil military or security services or obligations outsourced to it by such Private Military and Security Company. A subcontractor is not employed by the Private Military and Security Company.

(f) ~~“Prohibited activities” are activities that a State cannot outsource to Private Military and Security Companies under any circumstance, including but not limited to, conducting and engaging in combat operations, taking prisoners and the interrogation of detainees, law making, the use of, and other activities related to, weapons of mass destruction, and any activity that International Humanitarian Law explicitly assigns to a State agent or authority or that would result in their participation in acts of aggression or other ~~other unlawful uses of force.~~ activities prohibited under the UN Charter.~~

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~~(f) [“State Functions” are functions which are consistent with the principle of the State monopoly on the legitimate use of force that a State cannot outsource to Private Military and Security Companies under any circumstance, including but not limited to, direct participation in hostilities (as defined in international law), waging war and/or conducting combat operations, taking prisoners, law-making, espionage, intelligence, knowledge transfer with military, security and policing application, use of, and other activities related to, weapons of mass destruction, exercising police powers, especially powers of arrest or detention and the interrogation of detainees.]<sup>9</sup>~~

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- (g) “State of Nationality” means a State of the nationality of employees or other personnel of Private Military and Security Companies;
- (h) “Territorial State” means a State on whose territory Private Military and Security

<sup>8</sup> As guided by delegations, the definitions of military and security services have been deleted as they overlapped with the definition of Private Military and Security Company. This definition includes the definition of Military and Security Services contained in par. 9 (a) of the Preface of the Montreux Document as well as text from the now deleted definition of Military Services.

<sup>9</sup> A number of delegations proposed the deletion of this definition and reference to “State functions” in the text. However, there is not yet consensus in this regard.

Companies operate;

- (i) "Victim" means a person who, whether individually or collectively as a member of a group has suffered harm, including physical or mental injury, emotional suffering, economic loss or substantial impairment of their human rights and fundamental

freedoms, as a direct result of acts or omissions in the context of the activities of Private Military and Security Companies, that constitute violations or abuses of human rights or violations of International Humanitarian Law. ~~Where appropriate, and in accordance with domestic law,~~ The term “Victim” also includes the immediate family or dependants of the primary victim and persons who have suffered harm in intervening to assist victims in distress or to prevent victimization. [A person shall be considered a victim regardless of whether the perpetrator of such abuses and violations is identified, apprehended, prosecuted or convicted.]

[PARAGRAPH][ARTICLE] 2  
OBJECTIVES

The objective of this Instrument is to [provide a (regulatory) framework]:

- (a) ensure that the rights of persons, including the personnel of Private Military and Security Companies and their subcontractors, are not negatively impacted by the activities of such Companies and their personnel and sub-contractors ~~and agents;~~<sup>10</sup>
- (b) provide, in accordance with States’ obligations under international law, in particular international human rights law and International Humanitarian Law, for the regulation of and transparent oversight over the operations of Private Military and Security Companies, their personnel, and sub-contractors ~~and agents~~, to prevent such violations of such law arising from their conduct in the environments wherein they operate.
- (c) describe the circumstances under which such companies, their personnel, sub-contractors and clients ~~and agents~~ could be held accountable for abuses of human rights and violations of International Humanitarian Law;

**Commented [CT1]:** We would propose adding the addition of ‘client’ to this framework.

<sup>10</sup> Proposed by a State delegation. “Agent” has not been defined and only included where indicated by the delegation and not throughout the text.

- (d) ensure access to information, access to justice and effective avenues of redress and remedy for victims ~~in the context of activities by Private Military and Security Companies, their personnel, their sub-contractors and agents;~~
- (e) prohibit Private Military and Security Companies, their personnel, and their sub-contractors ~~and agents~~, in view of the State monopoly on the use of force, from exercising State functions prohibited activities as defined in Article 1(f); ~~enable States to determine which services may or may not be performed by Private Military and Security Companies within their respective jurisdictions] [prohibit States from contracting Private Military and Security Companies to carry out activities that International Humanitarian Law explicitly assigns to a State agent or authority] / Encourage States to define the scope of inherently governmental functions that may not be performed by Private Military and Security Companies.~~<sup>11</sup>
- (f) provide for effective reporting and grievance mechanisms, including whistleblower protection and the investigation and (appropriate) prosecution of persons responsible for abuses of human rights and violations of International Humanitarian Law.

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[PARAGRAPH][ARTICLE] 3  
SCOPE

This Instrument shall apply/ applies to all situations, including [situations of conflict][situations of armed conflict and humanitarian actions], where private military and security services are provided by Private Military and Security Companies, their personnel and their sub-contractors.

[PARAGRAPH][ARTICLE] 4  
GENERAL OBLIGATIONS / COMMITMENTS OF STATES

- (1) [States Parties][States Participants] recognise that nothing in this instrument impairs, restricts or limits their obligations to respect and protect human rights and to respect and ensure respect for International Humanitarian Law and to seek to promote the respect of human rights and International Humanitarian Law by Private Military and Security Companies, their personnel and subcontractors within their jurisdiction.

<sup>11</sup> Proposed by a State delegation. No consensus has been reached about this paragraph.

<sup>12</sup> A delegation has proposed that a provision be included here on the legal effects of the Instrument should it be decided that it will be not legally binding. However, it is considered that such a provision can only be included once finality has been obtained in this regard.

- (2) [States Parties][States Participants] [should][shall] enact any legislation and other measures necessary for the regulation of and oversight over Private Military and Security Companies, their personnel and subcontractors and the provision of military and security services.<sup>13</sup>
- (3) [States Parties][States Participants] [undertake to][shall] take appropriate measures, in line with their obligations in accordance with international law, to criminalise and provide effective penal sanctions in their domestic law for the commission of and the ordering of the commission of abuses by Private Military and Security Companies, their personnel and subcontractors of International Human Rights Law and for violations of International Humanitarian Law, including, but not limited to, war crimes, crimes against humanity, genocide, grave breaches of the Geneva Conventions of 1949 and where applicable, their Additional Protocols of 1977, forced labour, trafficking in persons, illicit trafficking of drugs, long-term and severe damage to the environment and the use of weapons prohibited under international law and other crimes under international law.

~~(4) [States Parties][States Participants] [undertake to][shall] through their domestic law prohibit Private Military and Security Companies, their personnel and sub-contractors from exercising State functions.~~

~~(5)(4) [States Parties][States Participants] [undertake not to][shall not] contract Private Military and Security Companies, their personnel or sub-contractors to carry out prohibited activities as defined in Article 1(f). for the purpose of direct participation in hostilities/ combat / that International Humanitarian Law explicitly assigns to a State agent or authority or that would result in their participation in acts of aggression or other unlawful uses of force<sup>14</sup>.~~

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<sup>13</sup> Two delegations proposed deletion of this text. Another noted that the text should be amended to reflect that the provision of penal sanctions for grave breaches of the Geneva Conventions is an existing obligation in terms of the Conventions and not a new obligation. This can be done by replacing “in specific circumstances” with “in accordance with their international obligations.”

<sup>14</sup> New proposal made by a State delegation UK OFFICIAL

[PARAGRAPH][ARTICLE] 5  
OBLIGATIONS / COMMITMENTS WITH RESPECT TO  
REGISTRATION, LICENSING AND RECRUITMENT

- (1) [States Parties][States Participants] [undertake to][shall] adopt and maintain legislation, regulations and policies/appropriate measures for the regulation and monitoring of and oversight over Private Military and Security Companies, their personnel and sub-contractors subject to their jurisdiction and the provision by those persons of military and security services.
- (2) [States Parties][States Participants] [undertake to][shall] establish an effective<sup>15</sup> licensing system in their domestic law to ensure that Private Military and Security Companies do not offer/provide their services without appropriate licenses.
- (3) [States Parties][States Participants] [undertake to][shall] require Private Military and Security Companies, to qualify for a license, adopt and implement policies / legislation to promote:
  - (a) the integration of standards of human rights and International Humanitarian Law and other international standards on the prevention and combating of corruption and trafficking in persons across their operations, including an internal code of conduct, ethics and behaviour;
  - (b) gender commitments prohibiting discrimination and promoting gender equality and other forms of diversity and gender-specific internal policies;

- (c) the undertaking of human rights due diligence and human rights impact assessment to identify, prevent and mitigate the risks of negative human rights impacts and abuses arising from their activities which will include human rights, labour and environmental impact assessments prior and throughout their operations;
- (d) training in International Human Rights Law and International Humanitarian Law, and relevant standards and rules governing the individual use of force and, where applicable, the use and management of weapons and ammunition, the use of lethal and non-lethal equipment and the prevention of labour and sexual exploitation and abuse, where applicable;
- (e) effective recruitment, selection, and vetting procedures for personnel and the keeping of records to prevent the employment of persons likely to commit/with a record of involvement in human rights abuses and International Humanitarian Law violations, sexual offences and sexual and gender-based violence, corruption-related acts, serious crime (including organised crime), and violent crime;
- (f) effective internal mechanisms [including through third party independent verification] for monitoring, supervising and ensuring accountability for alleged abuses of International Human Rights Law and violations of International Humanitarian Law;
- (g) compliance with international human rights, applicable environmental standards as specified in international environmental agreements and applicable international labour standards;
- (h) transparency of contracts for the provision of military and security contracts for prime contractors and sub-contractors and adequate transparency of the beneficial ownership status;

- (i) clear identification of their personnel by means of distinctive uniforms, emblems, and insignia; and
  - (j) recruitment and employment policies and procedures to ensure that force, fraud, or coercion are not used in the recruitment and employment of personnel, including personnel of subcontractors.
- (4) [States Parties][States Participants] [undertake to][shall] monitor compliance including by means of regular reporting systems, by Private Military and Security Companies, their personnel and sub-contractors, and consider imposing applicable civil and criminal penalties when activities are undertaken without the required registration or license and authorisation, including the export and import of military and security services.
- (5) [States Parties][States Participants] shall ensure that their licensing systems prohibit [adequately regulate] the provision of military and / or security services [in a Territorial State without the permission of that State] and provide adequate oversight.
- (6) States Parties][States Participants] [undertake to][shall] allocate adequate human and financial resources for the regulation and monitoring of and oversight over Private Military and Security Companies, including by establishing competent authorities to provide for monitoring, accountability and oversight of the Private Military and Security Company industry in relation to the requirements for registration, licensing, recruitment and training and contract transparency.

[PARAGRAPH][ARTICLE] 6

OBLIGATIONS / COMMITMENTS OF CONTRACTING STATES

Without prejudice to the other obligations/ commitments provided for in this Instrument, Contracting States [undertake to][shall], when entering into contracts with Private Military and Security Companies:



- (a) Provide that such government contracts promote adherence [ensure respect] by such companies, their personnel and sub-contractors for the domestic law of the Contracting State, International Human Rights Law and International Humanitarian Law [incorporate applicable requirements under the domestic law of the Contracting State and International Human Rights Law and International Humanitarian Law];
- (b) prohibit the personnel of Private Military and Security Companies or the personnel of sub-contractors from carrying out ~~activities in any conduct amounting to either direct participation in hostilities or the exercising of prohibited activities as outlined in Article 1(f), functions or the provision of services that have been assigned by international law to States or State agencies as inherently State functions; or that International Humanitarian Law explicitly assigns to a State agent or authority, or that would result in their participation in acts of aggression or other unlawful uses of force.~~
- (c) Ensure that such contracts are not concluded with Private Military and Security Companies that are not in compliance with applicable laws and regulations, including registration and licensing requirements; and that such companies do not sub-contract with non-registered and non-licensed companies;

[PARAGRAPH][ARTICLE] 7

OBLIGATIONS/ COMMITMENTS OF TERRITORIAL STATES

- (1) Territorial States should determine which services may or may not be performed by Private Military and Security Companies within their respective jurisdictions.
- (2) Territorial States [undertake not to][shall not] allow Private Military and Security Companies not in compliance with their applicable registration and licensing requirements to operate within their jurisdiction.

[PARAGRAPH][ARTICLE] 8  
OBLIGATIONS / COMMITMENTS OF HOME STATES

[Home States [undertake to][shall] in their domestic law determine which military and security services cannot be exported and criminalise the export of such prohibited services.] [Home States should ensure that their domestic law sufficiently regulates the provision of services by Private Military and Security Companies].

[PARAGRAPH][ARTICLE] 9  
OBLIGATIONS / COMMITMENTS OF STATES OF NATIONALITY

States of nationality [undertake to][shall] adopt or strengthen legislation and policies [appropriate measures] to regulate the recruitment of their nationals [and persons resident in the State] by Private Military and Security Companies to serve abroad, including to mitigate the risk that such nationals and residents are subjected to trafficking in persons, including forced labour, by the imposition of recruitment fees, and to prohibit their nationals to engage in any activity that is prohibited by this Instrument [by applicable and domestic international law].

[PARAGRAPH][ARTICLE] 10  
JURISDICTION

- (1) [States Parties][States Participants] [undertake to][shall] provide for criminal [and civil] jurisdiction over Private Military and Security Companies and their personnel when the company, or its parent or controlling company, is incorporated in, or is registered or domiciled in, or has its principal place of management or substantial activities in the territory of that State or in a territory wherein it is able to exercise jurisdiction.
- (2) [States Parties][States Participants] [undertake to][shall] establish their jurisdiction through domestic law over offences referenced in [article] [paragraph] 4(3) of this Instrument ~~based on applicable international law~~ when such offences are committed:

- (a) in the territory of that State;
  - (b) on board a vessel flying the flag of that State or an aircraft registered under the laws of that State at the time the offence is committed;
  - (c) by a national of or person habitually resident in that State;
  - (d) against a national of or a person resident in that State;
  - (e) outside the territory of that State or its territorial waters, including to the extent allowed by the United Nations Convention on the Law of the Sea and provided in each case that the offence constitutes a crime under international law and the establishment of jurisdiction is appropriate;
  - (f) by an alleged offender who is present in the territory of the [State Party] [State Participant] after the commission of the offence in another State, and where the establishment of jurisdiction is appropriate.
- (3) This Instrument does not exclude any additional grounds for criminal jurisdiction that exist under international law or the domestic law of [States Parties][States Participants].

[PARAGRAPH][ARTICLE] 11

REGULATION OF THE USE AND ACQUISITION OF WEAPONS AS WELL AS THE USE  
OF FORCE

- (1) [States Parties][States Participants] [undertake to][shall] adopt and maintain national laws and regulations / appropriate measures to:
- (a) regulate and control the acquisition, ~~licensing, brokering, export, import,~~ transfer, ~~transportation, transshipment,~~ storage, ~~transport disposal~~ and management of conventional arms (including their ammunition, parts and components) ~~weapons~~ by Private Military and Security Companies, ~~and~~ their personnel and sub-contractors in accordance with legal obligations and established international standards relating to weapons;

- (b) prohibit any transfer to and use by Private Military and Security Companies and their personnel or subcontractors of weapons the use of which is prohibited under applicable international law and prohibit such Companies, their personnel and subcontractors from engaging in any activities related to such weapons, [ which include weapons of mass destruction, weapons which cause superfluous injury or unnecessary suffering, or which are to cause indiscriminate, long-term and severe damage to the environment and inherently abusive security goods and equipment].
- (c) prohibit Private Military and Security Companies, their personnel and subcontractors from illicit trafficking in conventional arms~~firearms~~, their ammunition, parts, components ~~or ammunition~~ and other related accessories;
- (d) require Private Military and Security Companies to effectively train their personnel in the law governing the individual use of force, guided by the personal right to self-defence (or defence of others) with respect to the provision of private military and security services, including in a situation of armed conflict.

~~(2) [States Parties][States Participants] [undertake to][shall] introduce effective customs control and other forms of control over the transfer, including import, export, re-import, re-export, transit, trans-shipment and brokering of weapons, military or related equipment used by Private Military and Security Companies, their personnel and subcontractors.<sup>15</sup>~~

~~(3)~~(2) [States Parties] [States Participants] [undertake to][shall] not authorise any transfer of conventional arms, ~~(including their ammunition, parts and components,)~~ to Private Military and Security Companies when they assess, in accordance with applicable domestic laws, regulations and procedures and international obligations and commitments, that there is an overriding risk that such arms might be used to commit or facilitate serious violations or abuses of international human rights law or serious violations of international humanitarian law. ~~[States Parties] [State Participants] should develop standards governing the transfer of arms to Private Military and Security Companies, including consideration of the risk that such arms might be used to commit or facilitate violations of abuses of human rights or violations of International Humanitarian Law in accordance with applicable international and domestic law.~~

<sup>15</sup> It has been proposed that the text of the Arms Trade Treaty be used to cover this provision as well as (3) (of which the basic elements are based on the ATT. Articles 7 – 9 of ~~UK OFFICIAL~~ to cover this matter and are quite detailed.

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[PARAGRAPH][ARTICLE] 12  
ACCESS TO JUSTICE, ACCOUNTABILITY AND REMEDIES

- (1) [States Parties][States Participants] [undertake to][shall] ensure, through judicial, administrative, legislative or other appropriate means, that victims ~~of abuses of human rights and violations of International Humanitarian Law caused by Private Military and Security Companies, their personnel and sub-contractors~~ within their territory or under their jurisdiction shall have equal effective, child-friendly and gender-responsive access to a remedy and adequate, effective and prompt reparations, as provided for in International Human Rights Law.
- (2) [States Parties][States Participants] [undertake to][shall] guarantee that victims are treated with humanity and respect for their dignity and human rights, and their personal integrity, safety, physical and psychological well-being and privacy is protected;
- (3) [States Parties][States Participants] [undertake to][shall] without discrimination guarantee victims, adults and children alike, including those with disabilities, access to information in relevant languages and accessible formats, and legal aid relevant to pursue effective remedies to the extent possible or practical in accordance with domestic law;
- (4) Without prejudice to [Paragraph][Article] 13(1), [States Parties][States Participants] [undertake to][shall] provide [should consider providing] for non-judicial grievance procedures that are legitimate, independent, accessible, predictable, equitable, transparent, rights-compatible and a source of continuous learning.

[PARAGRAPH][ARTICLE] 13  
INVESTIGATION AND PROSECUTION

- (1) [States Parties] [States Participants] [undertake to][shall] conduct effective, thorough and impartial investigations related to alleged crimes committed within their territory or [subject to their] jurisdiction by Private Military and Security Companies, their personnel and sub-contractors and where appropriate, prosecute persons suspected of having committed such crimes consistent with their domestic law and international obligations.
- (2) [States Parties][States Participants][undertake not to][shall not] grant immunity [in their courts] from prosecution to Private Military and Security Companies, their personnel or their contractors for crimes committed anywhere they operate except for the purpose of the effective prosecution of perpetrators.

[PARAGRAPH] [ARTICLE] 14  
MUTUAL LEGAL ASSISTANCE, EXTRADITION AND SURRENDER

- (1) [States Parties][States Participants] [undertake to] [shall] provide one another with mutual legal assistance in the investigation and prosecution of the offenses referred to in [Paragraph] [Article] 4(3) of this Instrument [in terms of their domestic law or bilateral or multilateral agreements].<sup>1</sup>
- (2) [[States Parties][States Participants] may invite any State not party to this Instrument to provide mutual legal assistance and international judicial cooperation under this provision on the basis of an *ad hoc* arrangement, an agreement with such State or any other appropriate basis.<sup>16</sup>

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<sup>16</sup> A delegation has proposed that this provision be deleted.

- (3) [States Parties][States Participants] [undertake to][shall] in accordance with domestic legislation extradite or surrender persons suspected of having committed offences referred to in [Article][Paragraph] 4(3), where appropriate, to a State or international criminal tribunal having jurisdiction over such crime.
- (4) If the law of the Requested State does not allow for the extradition of its citizens on the grounds of their nationality, the Requested State shall, by request of the Requesting State, submit the case to its competent authorities so that, if judged needed, [procedures suitable can be performed] [pursue prosecution consistent with its domestic law and international obligations]. Such request shall be accompanied by the relevant procedural documentation and evidence relating to the offence. The Requesting State shall be informed of the outcome of the case.

[PARAGRAPH] [ARTICLE] 15  
COOPERATION

- (1) [States Parties][States Participants] [undertake to][shall], consistent with their domestic law and their international obligations [for the purpose of sharing information], establish a National Contact Point or other authority for the collection, analysis and exchange/sharing of information on companies and their sub-contractors providing military and / or security services and possible violations of national and international law provided for in this Instrument.
- (2) [States Parties][States Participants] [undertake to][shall], consistent with their domestic law, including the law related to privacy, and international obligations share information on the activities of Private Military and Security Companies with a view to ensure effective regulation and monitoring of and oversight over their activities with a view to investigate and prosecute possible crimes committed by such companies, their personnel or subcontractors.
- (3) States Parties][States Participants] [undertake to][shall] establish a monitoring and reporting mechanism to which they will report on their implementation of the

International Regulatory Framework on Private Military and Security Companies. Monitoring and reporting [is to be][shall] be undertaken by their respective National Contact Points. Monitoring [is to be][shall] be ongoing and conducted on a biannual basis. [The respective reports [is to be][shall] be collected and disseminated to the membership by the Secretariat]<sup>17</sup>.

[PARAGRAPH][ARTICLE] 16  
INTERNATIONAL LAW

- (1) This Instrument [is without prejudice to][reaffirms applicable] International Humanitarian Law, International Human Rights Law and other applicable International Law, including the law relating to disarmament].
- (2) Nothing in this Instrument may be interpreted in a manner inconsistent with International Humanitarian Law.

[ARTICLE 17  
SETTLEMENT OF DISPUTES

Legally binding text:

- (1) States Parties shall endeavour to settle disputes concerning the interpretation and application of this Instrument through consultations and negotiation.
- (2) Any dispute between two or more States Parties concerning the interpretation or application of this Instrument that cannot be solved through consultations and negotiation within six months of the date of request for such settlement shall, at the request of one of those States Parties, be submitted to arbitration. If, six months after the date of arbitration, those States Parties are unable to agree on the terms of the arbitration, any one of those States Parties may refer the dispute to the International Court of Justice in accordance with the Statute of the Court.

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<sup>17</sup> It has not as yet been agreed that a Secretariat will be established.



ARTICLE 18  
SIGNATURE, RATIFICATION AND ACCESSION

- (1) This Instrument will be open for signature by all United Nations Member States from ..... to .....
- (2) This Instrument shall be open for ratification by all States that signed it. Instruments of ratification shall be deposited with the Depositary.
- (3) Non-Signatory Member States of the United Nations may accede to this Instrument by depositing an instrument of accession with the Depositary.

ARTICLE 19  
ENTRY INTO FORCE

- (1) This Instrument shall enter into force .... days after the deposit of the ..... instrument of ratification or accession.
- (2) For each State acceding to this Instrument after its entry into force, it shall enter into force .... days after the depositing of the instrument of accession.

ARTICLE 20  
AMENDMENTS

- (1) After expiry of five years from the entry into force of this Instrument, any State Party may propose an amendment to this Instrument to the Depositary, which shall circulate

the proposal forthwith to all States Parties and other United Nations Member States for the purpose of considering and deciding on the proposal in the United Nations Human Rights Council.

- (2) States Parties shall make every effort to reach agreement on any proposed amendment to this Instrument by consensus. If all efforts at consensus have been exhausted, and no agreement is reached, the amendment shall as a last resort be adopted by a three-fourths majority vote of the State Parties present and voting during a meeting called by the Human Rights Council in terms of its procedures. For the purposes of this article, States Parties present and voting means States Parties present and casting an affirmative or negative vote.
- (3) The Depositary shall communicate any adopted amendments to all States Parties and other Member States of the United Nations.
- (4) An amendment to this Instrument adopted in accordance with this article shall enter into force for the States Parties which have consented to be bound by it on the ...day after depositing of its instrument of acceptance of the amendment. Any State acceding to the Instrument after the adoption of an amendment shall be bound by such amendment.

#### ARTICLE 21 RESERVATIONS

Note: Delegations will have to consider whether to allow for reservations.

#### ARTICLE 22 WITHDRAWAL

- (1) Any State Party may withdraw from this Instrument by giving written notice to the Depositary.

- (2) A withdrawal shall become effective one year after receipt of the notification by the Depositary, or at such later date as may be specified in the notification of withdrawal.

ARTICLE 23  
DEPOSITARY AND LANGUAGES

- (1) The Secretary-General of the United Nations is designated as the Depositary of this Instrument.
- (2) The original of this Instrument, of which the Arabic, Chinese, English, French, Russian and Spanish texts are equally authentic, shall be deposited with the Depositary.

**IN WITNESS WHEREOF** the undersigned plenipotentiaries, being duly authorised thereto by their respective Governments, have signed this Instrument.

DONE at ..... on this ..... day of .....20... ]